



Course and Examination Fact Sheet: Spring Semester 2019

8,364: Politics of Financial Regulation

ECTS credits: 4

Overview examination/s

(binding regulations see below)

Decentral - Group examination paper (all given the same grades) (60%)

Decentral - Presentation (in groups - all given the same grades) (20%)

Decentral - Active participation (20%)

Attached courses

Timetable -- Language -- Lecturer

[8,364,1.00 Politics of Financial Regulation](#) -- Englisch -- [Gava Roy](#)

Course information

Course prerequisites

Many of the assigned readings in this course apply quantitative methods. Some exposure to basic statistics and quantitative data analysis is thus helpful.

Course content

This course focuses on the regulation and governance of financial services before and after the Global Financial Crisis. Adopting a political science approach, the course investigates the emergence and evolution of regulatory frameworks, as well as the involvement of public and private actors in institutional arrangements and policy-making. The course privileges a comparative approach and deals with both the transnational (global, European Union) as well as the national level, with a particular focus on Switzerland, Western Europe and the United States. Thematically, the covers a variety of financial services (e.g., banking, commodity trading) and regulatory problems (e.g., financial stability, money laundering, consumer protection). The analysis and discussion of the scientific literature is complemented with insights from guest speakers (practitioners from the private and public sector, such as regulators and advocacy specialists).

The course covers the following topics:

- Power and financial regulation
- The Global Financial Crisis and its political and regulatory impact
- Financial regulators
- Lobbying and advocacy
- Enforcement of financial regulation and sanctions against financial firms
- Business self-regulation

Course structure

Weekly two-hour sessions.

Course literature

A complete syllabus will be provided at the beginning of the semester. All readings will be made available on StudyNet. The majority of the readings will consist of recent journal articles including empirical analyses. The following books are *indicative* of



the literature and topics covered in this course:

- Drezner, D. (2007). *All Politics is Global: Explaining International Regulatory Regimes*. Princeton and Oxford: Princeton University Press.
- Helleiner, E. (2014). *The Status Quo Crisis: Global Financial Governance After the 2008 Meltdown*. Oxford and New York: Oxford University Press.
- Howarth, D. and Quaglia, L. (2016). *The Political Economy of the European Banking Union*. Oxford and New York: Oxford University Press.
- Quaglia, L. (2014). *The European Union and Global Financial Regulation*. Oxford and New York: Oxford University Press.

Additional course information

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Examination information

Examination sub part/s

1. Examination sub part (1/3)

Examination time and form

Decentral - Group examination paper (all given the same grades) (60%)

Remark

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Examination-aid rule

Term papers

- Term papers must be written without anyone else's help and in accordance with the known quotation standards, and they must contain a declaration of authorship.
- The documentation of sources (quotations, bibliography) has to be done throughout and consistently in accordance with the APA or MLA standards. The indications of the sources of information taken over verbatim or in paraphrase (quotations) must be integrated into the text in accordance with the precepts of the applicable quotation standard, while informative and bibliographical notes must be added as footnotes (recommendations and standards can be found, for example, in METZGER, C. (2017), *Lern- und Arbeitsstrategien* (12th ed., Cornelsen Schweiz).
- For any work written at the HSG, the indication of the page numbers both according to the MLA and the APA standard is never optional.
- Where there are no page numbers in sources, precise references must be provided in a different way: titles of chapters or sections, section numbers, acts, scenes, verses, etc.
- For papers in law, the legal standard is recommended (by way of example, cf. FORSTMOSER, P., OGOREK R. et SCHINDLER B. (2018), *Juristisches Arbeiten: Eine Anleitung für Studierende* (6. Auflage), Zürich: Schulthess, or the recommendations of the Law School).

Supplementary aids

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Examination languages

Question language: English

Answer language: English

2. Examination sub part (2/3)

Examination time and form

Decentral - Presentation (in groups - all given the same grades) (20%)

Remark



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Examination-aid rule

Practical examination

No examination-aid rule is necessary for such examination types. The rules and regulations of the University of St. Gallen apply in a subsidiary fashion.

Supplementary aids

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Examination languages

Question language: English

Answer language: English

3. Examination sub part (3/3)

Examination time and form

Decentral - Active participation (20%)

Remark

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Examination-aid rule

Practical examination

No examination-aid rule is necessary for such examination types. The rules and regulations of the University of St. Gallen apply in a subsidiary fashion.

Supplementary aids

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Examination languages

Question language: English

Answer language: English

Examination content

Students are asked to write in small groups a term paper addressing an empirical research question related to the study of the politics of financial regulation. Students are expected to present a first version of their work in class and receive feedback to improve their paper before handing in the final version.

Students are expected to attend each class session and participate fully in class discussions. Readings must be completed prior to each class.

Examination relevant literature

The relevant literature will be provided on StudyNet (as of 25 February 2019).



Please note

We would like to point out to you that this fact sheet has absolute priority over other information such as StudyNet, faculty members' personal databases, information provided in lectures, etc. When will the fact sheets become binding?

- Information about courses and examination time (central/decentral and grading form): from the start of the bidding process on 24 January 2019
- Information about decentral examinations (examination-aid rule, examination content, examination relevant literature): after the 4th semester week on 18 March 2019
- Information about central examinations (examination-aid rule, examination content, examination relevant literature): from the start of the enrolment period for the examinations on 08 April 2019

Please look at the fact sheet once more after these deadlines have expired.